

Contact: Andrea Anania

MINUTES OF OCTOBER 26-27, 2011, BOARD MEETING

**MAUCKER UNION, OLD CENTRAL BALLROOM, ROOMS A AND B
UNIVERSITY OF NORTHERN IOWA
CEDAR FALLS, IOWA**

Board Members	October 26, 2011	October 27, 2011
Nicole Carroll	Present	Present
Robert Downer	Present	Present
Jack Evans	Present	Present
Ruth Harkin	Present	Present
Greta Johnson	Present	Present
Craig Lang	Present	Present
David Miles	Present	Present
Katie Mulholland	Present	Present
Bruce Rastetter	Via Phone	Present

Staff Members

Andrea Anania, Marcia Brunson, Aimee Clayton, Bob Donley, Sheila Doyle Koppin, Tom Evans, Diana Gonzalez, Joan Racki, Keith Saunders, Patrice Sayre, Todd Stewart, Ilene Tuttle

Regent Institutions

SUI Sally Mason, P. Barry Butler, Jordan Cohen, Ken Fisher, Elliott Higgins, Ken Kates, Rod Lehnertz, Carroll Reasoner, Jean Robillard, Tom Rocklin, Paul Rothman, Kelli Todd, Doug True, Stuart Weinstein

ISU Gregory Geoffroy, Dakota Hoben, Elizabeth Hoffman, Warren Madden, Sharron Quisenberry

UNI Ben Allen, Nadine Davidson, Pat Geadelmann, Gloria Gibson, Jan Hanish, Mary Herring, Annette Lynch, Randy Pilkington, Spencer Walrath

ISD Jeanne Prickett, Jim Heuer

IBSSS Patrick Clancy, Don Boddicker

Others

Barry Fick, Springsted Incorporated

Ann Jorgensen, Former Regent

Dr. Jackson Katz, MVP Strategies

Joe Murphy, Hawkeye Energy Holdings, LLC

The corresponding memorandum for each agenda item, an integral component of the minutes, is available on the Board of Regents website: <http://www.regents.iowa.gov/>. Copies of these memoranda can also be obtained from the Board Office by calling 515-281-3934.

Call to Order for October 26, 2011

President Lang called the Board of Regents meeting to order at 3:05 p.m.

Roll Call:

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Present	x	x	x	x	x*	x	x	x	x**
Absent									

* Regent Johnson joined the meeting shortly after roll call.

** via phone

University of Iowa Hospitals and Clinics Committee

The University of Iowa Hospitals and Clinics Committee met at this time.

Board Receipt of UIHC Committee Report

➤ MOVED by DOWNER, SECONDED by MILES, to:

- ◆ Approve Extension of the Carver College of Medicine Basic Sciences Incentive Compensation Plan; and
- ◆ Receive the report of the University of Iowa Hospitals and Clinics Committee.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Audit/Compliance and Investment Committee

The Audit/Compliance and Investment Committee met at this time.

Board Receipt of Audit/Compliance and Investment Committee Report

➤ MOVED by EVANS, SECONDED by DOWNER, to:

- ◆ Approve the Annual Review of Brokerage Firms and Financial institutions; and
- ◆ Receive the report of the Audit/Compliance and Investment Committee.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

President Lang adjourned the meeting at 4:45 p.m.

Call to Order for October 27, 2011

President Lang called the Board of Regents meeting to order at 8:39 a.m.

Roll Call:

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Present	x	x	x	x	x	x	x	x	x
Absent									

President Lang recognized and welcomed former Regent Ann Jorgensen.

Board President Report

- MOVED by LANG, SECONDED by MILES, to approve the following Resolution of the Board of Regents, State of Iowa:

WHEREAS, in November 2006, Joe Murphy was appointed Student Relations Officer for the Board of Regents and in October 2008 his duties and responsibilities were expanded to include serving as State Relations Officer for the Board and the University of Northern Iowa; and

WHEREAS, during his tenure with the Board of Regents, Joe cultivated and gained the respect of members of the General Assembly and legislative staff, the Board and leadership at the institutions; was a tireless and successful advocate of the Board's legislative agenda before the General Assembly; and

WHEREAS, specifically for the University of Northern Iowa, Joe's work resulted in many projects critical to the University's mission such as:

- ▶ Establishment of the Research and Development School
- ▶ Enhancing the leadership role of the Iowa Mathematics and Science Education Partnership
- ▶ Securing funding for the razing of Baker Hall and the renovation of Bartlett Hall

WHEREAS, Joe has also made valuable contributions to the Board and its institutions in his many efforts in working with student government organizations to develop grass roots efforts to further the Board's legislative agenda, and

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents, State of Iowa, expresses its deep gratitude to Joe Murphy for his many efforts in promoting and contributing to the excellence of Iowa's Public Universities and Special Schools, and presents him this plaque in public recognition and honor of his extraordinary service and contributions to the Board of Regents, its institutions, its students and their families.

We thank Joe for his outstanding service to Iowa's Public Universities and Special Schools, particularly the University of Northern Iowa, and we wish him all the best in his future endeavors.

MOTION APPROVED UNANIMOUSLY.

President Geoffroy reported that ISU Professor Dr. Dan Schechtman won the 2011 Nobel Prize in Chemistry and explained his discovery of quasicrystals, a material in which atoms pack together in a well-defined pattern that never repeats.

Dr. Schechtman is a: (1) Professor of Materials, Science, and Engineering at ISU; (2) researcher at the US Department of Energy's Ames Laboratory; and (3) Philip Tobias Professor of Materials Science at the Technion - Israel Institute of Technology, located in Haifa, Israel.

This is the first Nobel Prize for Iowa State University and the Ames Laboratory.

- The Board received the report by GENERAL CONSENT.

CONSENT AGENDA

- ◆ Minutes of September 20, 2011, Board Meeting
- ◆ Minutes of September 27, 2011, Board Meeting
- ◆ Appointments to Board Committees, Boards, and Commissions
- ◆ Revisions to the Board of Regents Policy Manual – Chapters 7 and 9
- ◆ Notice of Intended Action to Amend Iowa Administrative Code 681 – Chapters 1, 2, and 9
- ◆ Request for a New Program at the University of Iowa – Master of Fine Arts Program in Spanish Creative Writing
- ◆ Comprehensive Fiscal Report for FY 2011
- ◆ Engineering Programs Accreditation Report at the University of Iowa
- ◆ Employment Contract with Dr. Steven Leath

➤ MOVED by EVANS, SECONDED by MULHOLLAND, to approve the CONSENT AGENDA.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Presentation at the University of Northern Iowa: “Mentors in Violence Prevention Institute – Education in Influencing Your Peers and Colleagues to Make a Difference”

President Allen recognized Dr. Annette Lynch, UNI Director of the Center for Violence Prevention.

President Allen introduced Dr. Jackson Katz. Dr. Katz provided an overview of the Mentors in Violence Prevention program.

➤ The Board received the presentation by GENERAL CONSENT.

Proposed 2012-2013 Tuition and Fees

Chief Business Officer Patrice Sayre highlighted elements of the proposal. The following student leaders presented their thoughts on the proposed increases: Northern Iowa Student Government President Spencer Walrath; ISU Government of the Student Body President Dakota Hoben; SUI Executive Council of Graduate and Professional Students President Kelli Todd; and SUI Student Government President Elliot Higgins.

SUI Provost Barry Butler stated that the University of Iowa wishes to defer the \$450 tuition supplement for resident and nonresident graduate students in the College of Education until next year (See page 5 in the memorandum.)

➤ The Board received the report by GENERAL CONSENT.

Proposed Academic and Administrative Fees for 2012-2013

Policy and Operations Analyst Andrea Anania provided highlights on the docket item.

➤ MOVED by DOWNER, SECONDED by HARKIN, to request that the universities look for consolidations and combine categories for the December meeting.

Discussion was held on the challenge of consolidating and combining fees by the December meeting.

➤ MOVED by DOWNER, SECONDED by HARKIN, to withdraw the motion and request that the universities examine the fee structure for next year’s presentation.

➤ MOVED by MILES, SECONDED by CARROLL, to receive the report and authorize the Executive Director to file the notice of intent to amend the Iowa Administrative Rules for Iowa State University to add a new application fee for Non-Degree Students.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Establishment of the University of Iowa Health Care Board of Advisors

President Mason provided highlights on the proposed Board of Advisors. President Lang requested that Regents Downer and Carroll be members of the Advisory Board.

- MOVED by MILES, SECONDED by MULHOLLAND, to approve establishment of a University of Iowa Health Care Board of Advisors.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Iowa Braille and Sight Saving School Storm Recovery

Superintendent Patrick Clancy updated the Board on storm recovery.

- MOVED by MILES, SECONDED by DOWNER, to approve restoration of campus buildings and grounds damaged by severe weather to pre-storm conditions and receive the report.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Iowa State University Building Namings

President Geoffroy provided highlights on the proposed namings.

- MOVED by EVANS, SECONDED by HARKIN, to approve the University's request to name:
 - ♦ The Iowa State University Small Animal Hospital as the "Hixson-Lied Small Animal Hospital" in honor of Christina Hixson and in memory of Ernst M. and Ida K. Lied; and
 - ♦ An Iowa State University Agricultural and Biosystems Engineering Building and adjoining Atrium as "Sukup Hall and Atrium" in honor of the Sukup family of Sheffield, Iowa.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Register of University of Northern Iowa Capital Improvement Business Transactions

Interim Vice President Jan Hanish highlighted the proposed transactions.

- MOVED by EVANS, SECONDED by HARKIN, to approve the following actions for the **Redeker Center Expansion** project, a major capital project as defined by Board policy:
 - ♦ Acknowledge receipt of the University's initial submission of information to address the Board's capital project evaluation criteria (See Attachment A in the memorandum);
 - ♦ Accept the Board Office recommendation that the project meets the necessary criteria for Board consideration; and
 - ♦ Authorize permission to proceed with project planning, including the design professional selection process.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Register of University of Iowa Capital Improvement Business Transactions

Vice President Doug True highlighted the proposed transactions.

Provost Barry Butler elaborated on the proposed learning commons. Vice President for Student Life Dr. Tom Rocklin talked about the west campus residence hall. Vice President True noted that the funding plan to meet the estimated project cost for the West Campus Residence Hall is based on a proposed increase in system-wide residence hall rates to support the debt service for the project.

➤ MOVED by EVANS, SECONDED by CARROLL, to:

- ◆ Approve the following actions for the **Pharmacy Building Replacement / Improvements** project:
 - ◆ Acknowledge receipt of the University's initial submission of information to address the Board's capital project evaluation criteria (see Attachment A in the memorandum);
 - ◆ Accept the Board Office recommendation that the project meets the necessary criteria for Board consideration; and
 - ◆ Authorize permission to proceed with project planning, including the design professional selection process.
- ◆ Approve permission to proceed with project planning and the selection of Shive-Hattery Architecture+Engineering as the design consultant for the **Parking Lots and Ramps – Reconstruct Hancher Commuter Lots** project.
- ◆ Approve permission to proceed with project planning for the **Power Plant – Install Boiler 10 Natural Gas Burners** project, including the design professional selection process.
- ◆ Approve the following actions for the **Library – Learning Commons** project:
 - ◆ Acknowledge receipt of the University's final submission of information to address the Board's capital project evaluation criteria (see Attachment B in the memorandum);
 - ◆ Accept the Board Office recommendation that the project meets the necessary criteria for Board consideration; and
 - ◆ Approve the schematic design, project description and budget (\$14,565,000), with the understanding that approval will constitute final Board approval and authorization to proceed with construction.
- ◆ Authorize permission to utilize the services of a construction-manager-agent (CM-A) for the **Voxman Music Building /Clapp Replacement – Construct New Facility** project.
- ◆ Receive a project update on the **West Campus Residence Hall – Construct Facility** project.

MOTION APPROVED UNANIMOUSLY.

University of Iowa Proposed Property Sale

Vice President True described the proposed property sale.

➤ MOVED by HARKIN, SECONDED by MULHOLLAND, to approve the sale of approximately 108.85 acres of farmland located in Harrison County, Iowa, to the Frank R. Krejci Revocable Trust, Frank R. Krejci, trustee, for the sum of \$538,808, subject to approval of the final documents by the Board Office and Attorney General's Office.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Request to Approve 2012-2016 Strategic Plan for the Iowa School for the Deaf

Chief Academic Officer Dr. Diana Gonzalez outlined the Regent strategic plan policy and welcomed Superintendent Jeanne Prickett, who provided an overview on ISD's 2012 – 2016 strategic plan.

The Board asked the Board Office to follow up on the recommendations approved by the Board regarding the Coordinating Council for Hearing Services.

Discussion was held on the preface language in the proposed plan.

➤ **MOVED** by MILES, **SECONDED** by EVANS, to:

- ◆ Approve the 2012 – 2016 Strategic Plan for the Iowa School for the Deaf with the preface language omitted; and
- ◆ Request that all of the statements in the preface be brought back in the spring so the Board can discuss and identify resources and a timeline.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	X	X	X	X	X	X	X	X	X
Nay									

MOTION APPROVED by ROLL CALL.

Iowa State University Presidential Search

President Pro Tem Rastetter gave a final report on the search process.

➤ The Board received the report by GENERAL CONSENT.

Iowa State University Flood/Storm Recovery

Vice President Warren Madden updated the Board on the flood/storm recovery.

➤ The Board received the report by GENERAL CONSENT.

University of Iowa Update of Flood Recovery Status

Vice President Doug True, General Counsel Carroll Reasoner, and Director of Design and Construction Rod Lehnertz updated the Board on the flood recovery status.

➤ The Board received the report by GENERAL CONSENT.

Proposed Bond Issuance Schedule for Calendar Year 2012

Policy and Operations Officer Joan Racki and Barry Fick from Springsted, Incorporated, the Board's financial advisor, highlighted the proposed bond issuance schedule.

➤ **MOVED** by EVANS, **SECONDED** by HARKIN, to:

- ◆ Approve the schedule for the issuance of bonds for calendar year 2012 as detailed in the memorandum; and
- ◆ Adopt a resolution authorizing the Executive Director to fix the date or dates for the sale of Academic Building Revenue Bonds, refunding bonds, and other sales as may be authorized as a component of a Board approved financing plan for an identified capital project.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	X	X	X	X	X	X	X	X	X
Nay									

MOTION APPROVED by ROLL CALL.

Sale and Award of \$20,355,000 Hospital Revenue Bonds, Series S.U.I. 2011A

Barry Fick reported that: (1) five bids were received; (2) the winning bid was submitted by BMO Capital Markets out of Chicago; and (3) the true interest cost was 3.478%.

- MOVED by MILES, SECONDED by DOWNER, to adopt A Resolution providing for the sale and authorizing and providing for the issuance and securing the payment of \$20,355,000 Hospital Revenue Refunding Bonds, Series S.U.I. 2011A, for the purpose of advance refunding the Hospital Revenue Bonds, Series S.U.I. 2002, and paying costs of issuance.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	X	X	X	X	X	X	X	X	X
Nay									

MOTION APPROVED by ROLL CALL.

Fall 2011 Enrollment Report

Chief Academic Officer Dr. Diana Gonzalez provided highlights on the Fall 2011 enrollment report.

- The Board received the report by GENERAL CONSENT.

Federal Relations Report

UNI Special Assistant to the President for Board and Governmental Relations Pat Gadelmann highlighted federal relations activities on behalf of the three universities.

- The Board received the report by GENERAL CONSENT.

Other

Regent Miles commented on President Geoffroy's many successes and thanked him for his service. President Geoffroy thanked everyone for their support.

2011 Economic Development and Technology Transfer Report

ISU Vice President for Research and Economic Development Sharon Quisenberry, SUI Assistant Vice President for Research Cheryl Reardon, and UNI Business and Community Services Executive Director Randy Pilkington provided highlights of the 2011 report.

- MOVED by MILES, SECONDED by CARROLL, to:
 - ◆ Receive the report; and
 - ◆ Direct that this report and copies of complete institutional reports be forwarded to the Secretary of Senate, the Chief Clerk of the House of Representatives, the Legislative Services Agency, and the Office of the Governor and the Director of the Iowa Department of Economic Development pursuant to Iowa Code Chapters 262B.3, HF 809 (2005 Session) and HF 2459 (2006 Session).

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	X	X	X	X	X	X	X	X	X
Nay									

MOTION APPROVED by ROLL CALL.

Resolution of the Board of Regents, State of Iowa

- MOVED by DOWNER, SECONDED by EVANS, to approve the following Resolution of the Board of Regents, State of Iowa:

WHEREAS, when Gregory Geoffroy assumed the position as the 14th president of Iowa State University on July 1, 2001, he brought to the university and the State of Iowa an enduring leadership style that provided stability and inspiration for more than a decade; and

WHEREAS, under President Geoffroy's leadership, Iowa State achieved record enrollments, record levels in sponsored funding and the most successful fundraising campaign in history, while faced with challenging reductions in state funding; and

WHEREAS; President Geoffroy led the university community in the development of strategic plans that provided clear direction as well as objective ways to measure accomplishments during his tenure as president; and

WHEREAS, during his tenure President Geoffroy continued to build and maintain an outstanding faculty and staff, enhance positive relationships with Iowa's elected officials, advocate for students through the President's leadership class and his efforts to minimize tuition increases while increasing student financial aid beyond Board requirements; and

WHEREAS, President Geoffroy emphasized efficiency and high quality by combining two colleges, creating a new budget model, reorganizing Extension, and constructing and renovating academic, athletic and student facilities.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents expresses its deep gratitude to President Gregory Geoffroy for his strong leadership, bold vision, and valuable knowledge and experience; and presents to him this plaque in recognition and honor of his extraordinary service and contributions to the State of Iowa, the Board of Regents, and Iowa State University.

Dated this 27th Day of October 2011.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Strategic Plan Progress Reports

Chief Academic Officer Dr. Diana Gonzalez provided highlights on the report, including the institutions' progress on the Board's eight strategic plan goals, and introduced SUI Executive Vice President and Provost Barry Butler, ISU Executive Vice President and Provost Elizabeth Hoffman, UNI Executive Vice President and Provost Gloria Gibson, IBSSS Superintendent Patrick Clancy, and ISD Superintendent Jeanne Prickett. The group updated the Board on their respective institution's strategic plan.

- The Board received the reports by GENERAL CONSENT.

Faculty Presentation at the University of Northern Iowa: "Iowa Teacher Quality Partnership Grant"

Provost Gloria Gibson introduced UNI's Teaching Department Interim Head, Dr. Nadene Davidson, and College of Education Associate Dean, Dr. Mary Herring. They discussed the Iowa Teacher Quality Partnership Grant.

- The Board received the presentation by GENERAL CONSENT.

Closed Session – University of Iowa Real Estate Matter

- MOVED by MILES, SECONDED by JOHNSON, to enter into closed session pursuant to Iowa Code Section 21.5(1)(j) to discuss the purchase of particular real estate.

<u>Regent</u>	<u>Carroll</u>	<u>Downer</u>	<u>Evans</u>	<u>Harkin</u>	<u>Johnson</u>	<u>Lang</u>	<u>Miles</u>	<u>Mulholland</u>	<u>Rastetter</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

The Board convened in closed session at 2:51 p.m.

The Board reconvened in open session at 3:17 p.m.

President Lang adjourned the meeting at 3:17 p.m.