

**AUDIT AND COMPLIANCE COMMITTEE
BOARD OF REGENTS, STATE OF IOWA
AGENDA
NOVEMBER 3, 2004 – 9:30 A.M.**

University of Northern Iowa
Cedar Falls, Iowa

Members:

Owen Newlin, Chair	David Neil
Mary Ellen Becker	President John Forsyth (ex officio)
Robert Downer	

Anticipated Action

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| Call to Order (Chair) | Information |
| AUD 1. Minutes from August 3, 2004 Committee Meeting (Staff) | Approval |
| AUD 2. Revised Committee Work Plan (Chair) | Discussion |
| AUD 3. State of Iowa Audit Reports (State Auditor David Vaudt)
a. Comprehensive Annual Financial Report
b. Single Audit Report | Discussion |
| AUD 4. State Audit Reports (State Auditor David Vaudt)
a. University of Iowa
b. Iowa State University
c. University of Northern Iowa
d. Iowa School for the Deaf
e. Iowa Braille and Sight Saving School
f. Board Office
g. Report of Recommendations on the Review of Selected General
and Application Controls over Accounts Receivable System (ISU) | Discussion |
| AUD 5. Sarbanes Oxley Best Practices (Institutional Staff) | Discussion |
| AUD 6. Internal Audit Reports (Pam Elliott),
SUI/UIHC (Dick See),
ISU (Sheryl Rippke), UNI (Tim McKenna)
a. SUI Intercollegiate Athletics – Home Game Ticket Revenue – FY 2003-04
b. SUI Office of the Provost
c. SUI Opportunity at Iowa Departmental Audit
d. SUI Travel Expenses on Procurement Card
e. UIHC Meal Charging
f. UIHC Safety and Security
g. ISU Security of Remote Computing
h. ISU Sponsored Programs – FY 2004
i. UNI Continuing Education – Credit Programs
j. UNI Department of Residence – Facilities
k. UNI Field House (UNI-Dome) Operations – Event Parking
l. UNI Human Resource Services – Workers' Compensation
m. UNI University Health Services – Student Health Center | Discussion |
| AUD 7. External Audit Report – University of Northern Iowa
Fieldhouse Operations Concessions
UNI (Tim McKenna) | Discussion |
| Anticipated Issues for Next Committee Meeting (Chair)
February 2-3, 2005, Iowa City | Discussion |

Adjournment