

AUDIT/COMPLIANCE AND INVESTMENT COMMITTEE  
BOARD OF REGENTS, STATE OF IOWA

MAIN LOUNGE, IOWA MEMORIAL UNION  
UNIVERSITY OF IOWA  
IOWA CITY, IOWA

SEPTEMBER 7, 2016  
4:00 p.m.\*

- |  |                |
|--|----------------|
| 1. Minutes of June 9, 2016, Committee Meeting  | Decision       |
| 2. FY 2016 State Audit Plan (Mosiman)  | Discussion     |
| 3. State Audit Reports (Mosiman)   | Discussion     |
| ▶ FY 2015 Reports of Recommendation  |                |
| ♦ University of Iowa   |                |
| ♦ Iowa State University  |                |
| ♦ University of Northern Iowa  |                |
| ♦ Iowa School for the Deaf   |                |
| ♦ Iowa Braille and Sight Saving School   |                |
| ♦ Board Office   |                |
| 4. FY 2016 Audit Progress and FY 2017 Internal Audit Plans (Stewart)   | Recommendation |
| 5. Internal Audit Reports Issued (Stewart)   | Discussion     |
| 6. Internal Audit Charter (Stewart)  | Recommendation |
| 7. Investment and Cash Management Report for the Quarter Ended June 30, 2016<br>(Marquette Associates, Inc.) | Discussion     |
| 8. Annual Review of Peer Group Comparisons (Marquette Associates, Inc.)                                      | Discussion     |

**\*Note: Time is approximate**